



## Closed End Funds—Transfer on Death Affidavit

Each beneficiary of a Transfer on Death (“TOD”) account must complete and submit this affidavit to transfer their respective portion of the TOD account into their own name.

For assistance, you may contact Closed End Funds Shareholder Services at **(800) 294-4366**. For The Central and Eastern Europe Fund, Inc., The European Equity Fund, Inc., and The New Germany Fund, Inc. call **(800) 437-6269**.

### Important information about procedures for opening an account:

Note that a certified copy of the owner’s death certificate and tax waiver (if applicable) will need to be attached if a Medallion Signature Guarantee is not obtained in Step 5.

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. **When you open an account, you must provide your name, address, date of birth, and other information that will allow us to identify you.**

#### Step 1 Current TOD account information (\*Indicates required field)

*Name of owner	*Date of death of owner–MM/DD/YYYY	*Social Security number on account	
*U.S. residential address (P.O. Box not acceptable)	*City	*State	*Zip
*Account number	Name of joint owner (if any)	Date of death of joint owner–MM/DD/YYYY	

#### Step 2 Beneficiary information (\*Indicates required field)

*Name	*Social Security number or Tax Id number	*Date of birth or Trust Date–MM/DD/YYYY	
*U.S. residential address (P.O. Box not acceptable)	*City	*State	*Zip
Mailing address (if different)	*City	*State	*Zip
Daytime phone number	Extension		

Step 3 | Distribution instructions

Select one:

- Open a new account with my portion of the shares in the existing account. (A completed Transfer on Death (TOD) Application must be included with this affidavit).
- Transfer my portion of the shares in the account to my existing DWS fund account (indicate account number).

\_\_\_\_\_  
Account number

- Liquidate my portion of the account and send the proceeds to my address shown in Step 2.

Step 4 | Additional information

1. The undersigned being first duly sworn, deposes and says:

This affidavit is made to affect each fund making up the account listed in Step 1 ("Funds") to transfer the Funds to the TOD beneficiary(ies) as provided by the original account owner.

Select one:

- There is only one TOD beneficiary (as stated in Step 2).
- There are multiple TOD beneficiaries (each beneficiary must submit a separate, completed affidavit).

If you are a beneficiary as a result of an LDPS designation (see 3 below for further LDPS information) made by the deceased shareholder (named in Step 1), please explain your relationship to the deceased beneficiary. (E.g., I am a grandchild of John Jones, the deceased shareholder. My mother, Jane Jones, a designated beneficiary, was a daughter of John Jones and died before him.)

2. Mark either of the following as appropriate:

- The decedent is not survived by a spouse.
- The decedent is survived by a spouse who is:

3. "LDPS" following the name of a primary or contingent beneficiary refers to a class of unnamed persons who are the lineal descendants per stirpes of the primary or contingent beneficiary and who are to take upon surviving the shareholder, in the place of and with the same priority as the named person for whom they are indicated as substitutes. Example: John Jones, an individual owner, specifies in a TOD direction that the TOD beneficiary on his account is William Jones LDPS and Susan Jones LDPS. If William Jones does not survive John Jones but has two children who survive John Jones, the children would each receive one-half of William Jones's share. If Susan Jones does not survive John Jones but has two children, one who survives John Jones and one who does not, and two grandchildren (children of Susan Jones's deceased child) who survive John Jones, Susan Jones's child would receive one-half of her share and the grandchildren would each receive one-quarter of her share.

- 4. The undersigned has attained the age of majority as defined in the laws of his/her state of residence, and the birth date or trust date as stated in Step 2 is correct.
- 5. If a Medallion Signature Guarantee is not obtained in Step 5, a certified death certificate for each account owner is attached. If a beneficiary predeceased the account owner(s), a certified death certificate for the beneficiary is also attached.
- 6. If required by my state, a tax waiver is attached to this affidavit.
- 7. All outstanding certificated shares (if any) have been surrendered with this affidavit.
- 8. There are no known disputes as to the person(s) entitled to a transfer under the TOD direction, and there are no claims that would affect the transfer(s) requested.

Step 4 Additional information (continued)

9. In consideration for the requested transfer(s), the undersigned agrees to indemnify and hold harmless each of the Funds, DWS Service Company and its affiliates, State Street Bank and Trust Company, and each of their officers, directors, trustees, employees, agents, affiliates, successors, and assigns from and against any and all claims, losses, liabilities, damages, actions, charges, and expenses (including reasonable attorneys' fees) sustained or incurred by reason of this requested transfer.
10. The undersigned agrees that if title to all or any portion of the account is determined to belong to another, then the undersigned will transfer that portion improperly received and all earnings thereon to the person entitled to it.
11. The undersigned affirms under penalty of perjury that the information and representations submitted on this form are true and correct to the best of his/her knowledge and belief.
12. I certify under penalties of perjury that:
- (a) the Social Security or tax identification number provided on this form is correct and may be used for any account opened for me by DWS; and (b) unless the box below is checked, I am not subject to backup withholding because (1) I am exempt from backup withholding; or (2) the Internal Revenue Service (IRS) has not notified me that I am subject to backup withholding as a result of failure to report all interest or dividends; or (3) the IRS has notified me that I am no longer subject to backup withholding; and (c) I am a U.S. person (including a U.S. resident alien).
- Please check this box only if you are subject to backup withholding and include a copy of the notification letter you received from the IRS.

Step 5 Signature

By signing this form, I understand and consent to DWS Service Company's collection, verification, and retention of information (as set forth in this affidavit) that identifies each person who opens an account. I certify that all account information and disclosures made on this form are true and accurate.

**The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature of TOD beneficiary  
(If acting on behalf of the account owner, you must sign in capacity\*)

\_\_\_\_\_  
Date-MM/DD/YYYY

Affix Medallion Signature Guarantee or Guarantee stamp  
(a notary seal is not acceptable)

**Special note to Medallion Signature Guarantee guarantors:** By affixing the Medallion Signature Guarantee, you are verifying the identity of the individuals and entities assigned to this account and are accepting liability for any misrepresentation as it applies to this registration and any accompanying documentation.

## Medallion Signature Guarantee

A Medallion Signature Guarantee is issued by a bank, savings and loan, trust company, credit union, broker/dealer, or any member or participant of an approved signature guarantee program. Please note that a notary public is not an acceptable guarantor. An officer of the institution will ask for identification to be sure that you are, in fact, the person identified on this form and the person signing it. Once the guarantor has reviewed your request, verified your identity and your authority to act on the account presented to them, they will affix a Medallion Signature Guarantee stamp to your form.

DWS prefers Medallion Signature Guarantee stamps. We must receive an original stamp. If more than one signature is required on this form, we will need separate stamps for each signature. If you are obtaining a non-Medallion Signature Guarantee, please contact us. We may require additional documentation to complete your request.

\*If acting on behalf of the account owner, or an entity such as a Trust, a Company, or an Estate, you must sign in the capacity. The institution providing the Medallion Signature Guarantee for these types of accounts will require additional documentation. You may wish to contact the institution to confirm the documentation they require to provide you with a Medallion Signature Guarantee.

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### Please mail completed form to:

DWS Service Company  
P.O. Box 219066  
Kansas City, MO 64121-9066

#### Overnight Address:

DWS Service Company  
430 W. 7th Street  
Suite 219066  
Kansas City, MO 64105-1407

Closed-end funds, unlike open-end funds, are not continuously offered. There is a one-time public offering and once issued, shares of closed-end funds are sold in the open market through a stock exchange. Shares of closed-end funds frequently trade at a discount to net asset value. The price of the fund's shares is determined by a number of factors, several of which are beyond the control of the fund. Therefore, the fund cannot predict whether its shares will trade at, below or above net asset value.